

Form PTO-1449  
(Rev. 2-97 by App.)

U.S. Department of  
Commerce  
Patent and Trademark  
Office

**INFORMATION DISCLOSURE CITATION**  
(use Several Sheets if Necessary)

Att'y Docket No.  
Serial No.  
Inventor:  
Filing Date:  
Group Art Unit:

98A9-USC1 Croughan  
09/934,973  
Timothy P. Croughan  
August 22, 2001  
1761/1638

1046 U.S. PTO

09/934973

08/22/01

**U.S. PATENT DOCUMENTS**

Exam. Initial	Document No.	Date	Name	Class	Subcl.	File Date
OK	6,274,796	8/01	Croughan	800	320.2	7/99
	5,952,553	9/99	Croughan	800	320.2	2/98
	5,928,937	7/99	Kakefuda <i>et al.</i>	435	320.1	5/95
	5,859,348	1/99	Penner <i>et al.</i>	800	230	12/96
	5,853,973	12/98	Kakefuda <i>et al.</i>	435	4	4/95
	5,773,704	6/98	Croughan	800	235	4/96
	5,773,703	6/98	Croughan	800	235	4/96
	5,773,702	6/98	Penner <i>et al.</i>	800	235	7/96
	5,731,180	3/98	Dietrich	800	172.3	7/91
	5,767,366	6/98	Sathasivan <i>et al.</i>	800	205	12/94
	5,767,361	6/98	Dietrich	800	205	6/92
	5,736,629	4/98	Croughan	800	235	4/97
	5,718,079	2/98	Anderson <i>et al.</i>	800	235	3/93
	RE. 35,661	11/97	Thill	800	200	3/95
	5,633,437	5/97	Bernasconi <i>et al.</i>	800	205	—
	5,605,011	2/97	Bedbrook <i>et al.</i>	47	58.1	—
	5,545,822	8/96	Croughan	800	235	—
	5,331,107	7/94	Anderson <i>et al.</i>	800	235	—
	5,304,732	4/94	Anderson <i>et al.</i>	800	235	—
	5,084,082	1/92	Sebastian	300	212	—
	5,013,659	5/91	Bedbrook <i>et al.</i>	435	172.3	—
	4,774,381	9/88	Chaleff <i>et al.</i>	800	235	—
	4,761,373	8/88	Anderson <i>et al.</i>	435	172.3	—
	4,443,971	4/84	Chaleff	47	58	—
Do Not Publish	OK	09/830,194	Croughan			4/00

**NOTE:** Copies of the above patents can be found in prior application SN 09/830,194, 35 U.S.C. § 371 date 4/23/01. See 37 C.F.R. § 1.98(d).

EXAMINER

*Daniel Kuse*

DATE CONSIDERED

*5 February 2003*

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.


<b>Form PTO-1449</b> (Rev. 2-97 by App.)	U.S. Department of Commerce Patent and Trademark Office	Att'y Docket No. Serial No. Inventor: Filing Date: Group Art Unit:	98A9-USC1 Croughan 09/ Timothy P. Croughan August 22, 2001 1761 1438
<b>INFORMATION DISCLOSURE CITATION</b> (use Several Sheets if Necessary)			

FOREIGN PATENT DOCUMENTS						
Exam. Initial	Document No.	Date	Country	Class	Subcl.	Translation Yes No
PK	0 257 993	3/88	EP			
	0 965 265	12/99	EP			
	0 730 030	9/96	EP			
	0 525 384	2/93	EP			
	0 154 204	9/85	EP			
	00 / 27182	5/00	WO			
	00 / 26390	5/00	WO			
	98 / 02527	1/98	WO			
	98 / 02526	1/98	WO			
	97 / 41218	11/97	WO			
	96 / 33270	10/96	WO			
	92 / 08794	5/92	WO			
PK	90 / 14000	11/90	WO			

**NOTE:** Copies of the above patents can be found in prior application SN 09/830,194, 35 U.S.C. § 371 date 4/23/01. See 37 C.F.R. § 1.98(d).

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
PK	Croughan, T. <i>et al.</i> , "Applications of Biotechnology to Rice Improvement," <i>Proc. 25th Rice Tech. Work. Groups</i> , pp. 62-63 (1994)
	Croughan, T., "Application of Tissue Culture Techniques to the Development of Herbicide Resistant Rice," <i>Louisiana Agriculture</i> , vol. 37, no. 3, pp. 25-26 (1994)
	Croughan, T. <i>et al.</i> , "Imidazolidone-Resistant Rice," 90th Annual Research Report, Rice Research Station, 1998, p. 511 (December 1999)
	Croughan, T. <i>et al.</i> , "Assessment of Imidazolidone-Resistant Rice," 87th Annual Research Report, Rice Research Station, 1995, pp. 491-525 (September 1996)
	Croughan, T., "Herbicide Resistant Rice," <i>Proc. 25th Rice Tech. Work. Groups</i> , p. 44 (1994)
	Croughan, T. <i>et al.</i> , "Rice Biotechnology Research," 89th Annual Research Report, Rice Research Station, 1997, p. 464 (September 1998)
	Croughan, T. <i>et al.</i> , "IMI-Rice Evaluations," 88th Annual Research Report, Rice Research Station, 1996, pp. 603-629 (September 1997)
PK	Croughan, T., "Improvement of Lysine Content and Herbicide Resistance in Rice Through Biotechnology," USDA CRIS Report Accession No. 0168634 (for Fiscal Year 1997 -- actual publication date currently unknown)

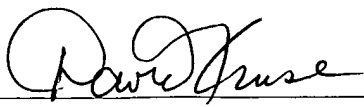
**NOTE:** Copies of the above references can be found in prior application SN 09/830,194, 35 U.S.C. § 371 date 4/23/01. See 37 C.F.R. § 1.98(d).

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<b>INFORMATION DISCLOSURE CITATION</b> (use Several Sheets if Necessary)			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
PK	Croughan, T., "Improvement of Lysine Content and Herbicide Resistance in Rice Through Biotechnology," USDA CRIS Report Accession No. 0168634 (for Fiscal Year 1999 -- actual publication date currently unknown)
	Croughan, T., "Improvement of Lysine Content and Herbicide Resistance in Rice Through Biotechnology," USDA CRIS Report Accession No. 0168634 (for Fiscal Year 2000 -- actual publication date currently unknown)
	Croughan, T., "Production of Rice Resistant to AHAS-Inhibiting Herbicides," Congress on Cell and Tissue Culture, Tissue Culture Association, <i>In Vitro</i> , vol. 30A, p. 60, Abstract P-1009 (June 4-7, 1994)
	Croughan, T. <i>et al.</i> , "Rice and Wheat Improvement through Biotechnology," <i>84th Annual Research Report, Rice Research Station</i> , 1992, pp. 100-103 (1993)
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	Croughan, T. <i>et al.</i> , "Rice Improvement through Biotechnology," <i>86th Annual Research Report, Rice Research Station</i> , 1994, pp. 461-482 (September 1995)
	Hipple, L. <i>et al.</i> , "AHAS Characterization of Imidazolinone Resistant Rice," pp. 68-69 in Proceedings of the 27th Rice Technical Working Group Meeting (1999) <i>Abstract only.</i>
	Hipple, L. <i>et al.</i> , "AHAS Characterization of Imidazolinone Resistant Rice," pp. 45-46 in Program of the 27th Rice Technical Working Group Meeting (March 1998);
	Lee <i>et al.</i> , "The Molecular Basis of Sulfonylurea Herbicide Resistance in Tobacco," <i>The EMBO J.</i> , vol. 7, no. 5, pp. 1241-1248 (1988)
	Mazur <i>et al.</i> , "Isolation and Characterization of Plant Genes Coding for Acetolactate Synthase, the Target Enzyme for Two Classes of Herbicides," <i>Plant Physiol.</i> , vol. 85, pp. 1110-1117 (1987)
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	Odell <i>et al.</i> , "Comparison of Increased Expression of Wild-Type and Herbicide-Resistant Acetolactate Synthase Genes in Transgenic Plants, and Indication of Postranscriptional Limitation on Enzyme Activity," <i>Plant Physiol.</i> , vol. 94, pp. 1647-1654 (1990)
PK	Rice, W. <i>et al.</i> , "Delayed Flood for Rice Water Weevil Control using Herbicide Resistant Germplasm," p. 134 in Proceedings of the 27th Rice Technical Working Group Meeting (1999). <i>Abstract only.</i>

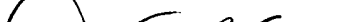
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